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CERTIFICATE OF MAILING

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I hereby certify that this correspondence is addressed as set out in §1.1(a) and deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below and is addressed to: Commissioner for Patents,

Washington, D. C. 20231. Date: February 11. 2003

Jane 1

Attorney Docket No.: 10000.2001 PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Christopher J. Ong

Group Art Unit: 1634

Application No. :

09/883,745

Filed

June 18, 2001

For

CELL LIBRARIES INDEXED TO NUCLEIC

**ACID MICROARRAYS** 

# TRANSMITTAL LETTER

Commissioner For Patents Washington, D.C. 20231

Enclosed for filing in the above-referenced patent application are the following:

- 1. Supplemental Information Disclosure Statement (2 pages);
- 2. Form PTO/SB/08 (1 page);
- 3. Copies of eight (8) listed references; and
- 4. Return Receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or credit any overpayment to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

Registration No. 31,881

Date: February 11, 2003

SPECKMAN LAW GROUP

PATENT TRACEMARK OFFICE



20601



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nateritis, washington, D.C.

Paige A Johnson

Attorney Docket No. 10000.2001 PATENT APPLICATION

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents Washington, D.C. 20231

### Dear Examiner:

The documents listed on the accompanying form PTO/SB/08 are cited in compliance with the provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98, as amended. Applicant does not concede that the references are "prior art" under 35 U.S.C. § 102 or 103, and specifically reserves the right to antedate such material, as by a showing under 37 C.F.R. § 1.131 or otherwise.

The attached PTO/SB/08 form identifies eight (8) listed references. A copy of each of the listed references is enclosed herewith for the Examiner's convenience.

This Supplemental Information Disclosure Statement is being filed prior to receipt of a first action on the merits and no fee is therefore required.

Respectfully submitted,

Ann W. Speckman

Registration No. 31,881

Date: February 11, 2003

SPECKMAN LAW GROUP

PATENT TRACEMARK OFFICE

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PTO/SB/08 (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/883.745 INFORMATION DISCLOSURE Filing Date June 18, 2001 FINE OUT BY APPLICANT **First Named Inventor** Christopher Ong ny sheets as necessary) Art Unit 1634 Examiner Name Not Yet Assigned 10000.2001 Attorney Docket Number

U.S. PATENT DOCMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)  US-	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-					

FOREIGN PATENT DOCUMENTS									
	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear				
	No.1	Country code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T <sup>6</sup>			
	1	WO 01/57251	08-09-2001	Annomax, Inc.					
	2	WO 98/14614 A	04-09-1998	Lexicon Genetics, Inc.					

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>				
	1 3	ZAMBROWICZ, B. and FRIEDRICH, G., "Comprehensive mammalian genetics; history and future prospects of gene trapping in the mouse," 1988, Int. J. Dev. Biol. 42: 1025-1036.					
	1 4	CHEN, H., ET AL., "A chromosome 18-specific gene array based on trapped exons: Implications for bipolar disorder," 2000, Abstract (program #1427) from 50 <sup>th</sup> Annual Meeting of the American Society for Human Genetics (Philadelphia, PA. –October 03-07, 2000).					
	4 5	SKARNES, W., "The identification of new genes: gene trapping in transgenic mice," 1993, Current Opinion in Biotechnology 4:684-689.					
	46	EVANS, M.J., ET AL., "Gene trapping and functional genomics," 1997, Trends in Genetics 13(9): 370-374.					
	5 7	SHOEMAKER, DD., ET AL., "Experimental annotation of the human genome using microarray technology," 2001, Nature, 409(6822):922-927.					
	8	PENN, S., et al., "Mining the human genome using Microarrays of open reading frames," 2000 Nature Genetics 26(3):315-318.	J				

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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.